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Baroclinic instability of the Faroe Bank Channel Overflow

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ABSTRACT

The generation mechanism of mesoscale eddies in the Faroe Bank Channel (FBC) over-5 flow region and their spatio-temporal characteristics are examined using the high-resolution 6 regional Massachusetts Institute of Technology general circulation model (MITgcm). From 7 the modelled overflow, it is found that the volume transport downstream of the FBC sill 8 exhibits strong variability with a distinct period of ~ 4 days. Energetic, alternating cyclonic 9 and anticyclonic eddies appear at ~ 40 km downstream of the sill. They grow side by side 10 in the nascent stage, but later the cyclones migrate along the 800-m isobath all the way to 11 the south of Iceland, whereas the anticyclones descend downslope across the isobath and 12 gradually dissipate. Analysis of the eddy characteristics shows that the cyclones are asso-13 ciated with a larger plume thickness and width, larger volume transport, colder and denser 14 water, and a plume core located further downslope, whereas the opposite is true for the 15 anticyclones. 16

The oscillatory structure developed at the lower boundary of the mean plume and the 17 following generation of alternating cyclones and anticyclones are typical features of baroclinic 18 instability. A linear instability analysis of a two-layer analytical baroclinic model yields 19 a most unstable mode that agrees favourably with the simulations. The calculation of 20 the divergent eddy heat flux shows a substantial rightward (upslope)-directed component 21 downstream of the FBC sill. This region is also associated with a strong baroclinic conversion 22 rate. The above arguments constitute evidence for the generation of unstable plume and 23 mesoscale eddies in the FBC region by baroclinic instability. 24

²⁵ 1. Introduction

The water exchange between the Nordic Seas and the North Atlantic plays an important 26 role in modulating water mass properties and the thermohaline circulation in the Atlantic. 27 Cold and dense bottom water formed in high latitudes passes across the Greenland-Iceland-28 Scotland Ridge via several pathways and flows into the deep basin. The dense overflow 29 water transforms as a result of vigorous entrainment and mixing as it sinks, and eventually 30 contributes to the formation of North Atlantic Deep Water (Dickson and Brown 1994). This 31 water mass exchange is important for understanding the North Atlantic and global climate 32 and has been a topic of numerous studies. For a comprehensive review, readers are referred 33 to Hansen and Østerhus (2000). 34

The Faroe Bank Channel (FBC) (Fig. 1) is the passage with the second largest volume 35 transport of overflow water from the Nordic Seas to the North Atlantic (after Denmark 36 Strait) and accounts for about a third of the total overflow. The cold and dense overflow 37 through the channel has been under intensive observation and monitoring since its discovery 38 (c.f., Borenäs and Lundberg 1988; Mauritzen et al. 2005; Hansen and Østerhus 2007; Beaird 39 et al. 2013, and the references therein). The FBC features a narrow channel confined by 40 the Faroe Island to the north and the Faroe Bank to the south, with a ~ 850 m deep sill 41 (Fig. 1). It widens to the Faroe-Iceland slope at approximately 50 km west of the sill. The 42 dense overflow water from the Norwegian Sea enters the FBC as a bottom-attached gravity 43 current after passing through the Faroe Shetland Channel. It is confined within the FBC and 44 appears to be hydraulically controlled (Borenäs and Lundberg 1988; Girton et al. 2006). The 45 overflow plume spreads as it flows over the sill and descends the widening FBC. During its 46 descent, it is associated with multiple processes such as entrainment, shear-induced mixing, 47 and mesoscale variability (Mauritzen et al. 2005; Fer et al. 2010; Darelius et al. 2011). The 48 plume is consequently diluted and increases in volume transport. 49

In the FBC, the plume is geostrophically balanced in the cross-channel direction (Hansen and Østerhus 2007; Seim and Fer 2011). This constraint holds along the isobaths as steered

by rotation, but can be broken down by bottom friction and baroclinic instability, leading 52 to the generation of energetic eddies and resultant enhanced downslope volume transport 53 (Jiang and Garwood Jr. 1995; Tanaka and Akitomo 2001). At the FBC, the plume already 54 exhibits (irregular) oscillations upstream of and above the sill (Darelius et al. 2011; Cuth-55 bertson et al. 2014). Downstream of the sill, strong and regular oscillations of the plume 56 and vigorous eddies are reported from observations (Geyer et al. 2006; Darelius et al. 2011, 57 2013), numerical simulations (Ezer 2006; Riemenschneider and Legg 2007; Seim et al. 2010), 58 and satellite altimeter data (Høyer and Quadfasel 2001; Darelius et al. 2013). Darelius et al. 59 (2011) reported mesoscale oscillations of the overflow with dominant periods of 2.5 - 5 days 60 downstream of the sill in two-month-long mooring records. Trains of alternating cyclonic and 61 anticyclonic eddies were recorded, in association with changes of plume thickness varying 62 between 100 and 200 m. Observations showed that the oscillations exist throughout the year 63 (Gever et al. 2006) and in the whole vertical column rather than being bottom-intensified 64 (Darelius et al. 2013). 65

Characteristics of overflow instabilities in a rotating channel can be captured by idealised 66 models (c.f., Griffiths et al. 1982; Swaters 1991; Pratt et al. 2008). Griffiths et al. (1982) 67 examined the ageostrophic barotropic instability for a dense overflow with zero potential 68 vorticity. The unstable current was explained by the resonant coupling of two waves that 69 are trapped on the two edges of the plume. Swaters (1991) constructed a two-layer model to 70 study the baroclinic evolution of a rotating overflow that intersects sloping topography on the 71 two edges (incroppings). His results indicated characteristics of baroclinic instability in the 72 plume and amplifying topographic Rossby waves in the upper layer. Unstable perturbations 73 tend to occur near the downslope incropping, and the instability depends on an interaction 74 parameter that measures the ratio of destabilising baroclinic vortex stretching to the sta-75 bilising topographic β effect. Swaters (1991) model was later refined with the inclusion of 76 a continuously stratified upper layer (Poulin and Swaters 1999; Reszka et al. 2002) that is 77 more realistic. Following these analytical studies, the dynamics and stability of a rotating 78

overflow have been studied in more detail with three-dimensional primitive equation models
(e.g., Jiang and Garwood Jr. 1995; Jungclaus et al. 2001; Tanaka and Akitomo 2001).

There has been little discussion of the instability characteristics of the FBC overflow. 81 The mechanisms for generation and destabilisation of the plume and the subsequent eddies 82 are not understood. Darelius et al. (2011) assessed the possible generation mechanisms such 83 as the commonly seen vortex stretching due to bottom friction and baroclinic instability. 84 They ruled out these two mechanisms due to the mismatch of their observations with some 85 expected features of instability (this will be discussed further in section 7). Rather, Dare-86 lius et al. (2011) presented observations that were broadly consistent with the presence of 87 growing topographic Rossby waves in this region. The flow of a dense plume over the slope 88 can support these low frequency waves with a restoring force resulting from the change of 89 potential vorticity as the plume crosses isobaths, thereby stretching and squeezing the water 90 column. 91

In contrast with the long-established and continuous observations at the FBC, regional 92 simulations have been lacking. Apart from a few eddy-resolving modelling studies (Ezer 2006; 93 Riemenschneider and Legg 2007; Seim et al. 2010) that addressed the mesoscale variability, 94 modelling-based investigations of the underlying mechanism that governs the unstable plume 95 and the attendant eddies have not been reported. Given the importance of the FBC overflow 96 for the global thermohaline circulation and the incomplete understanding of its dynamics, 97 this work aims to offer a thorough examination of the properties of mesoscale variability 98 and eddies at the Faroe Bank region and identify the controlling mechanism behind them, 99 using a largely numerical approach. Numerical experiments employing a three-dimensional 100 regional circulation model with idealised but representative forcing and stratification are 101 used. In addition, some recent field observations and a linear analysis of a baroclinic model 102 of a rotating overflow on a slope (Reszka et al. 2002) are used to aid interpretation of the 103 results. It will be shown that the ubiquitous variability observed in the hydrographic and 104 current records is a result of baroclinic instability. 105

The paper is organised as follows. In section 2, the model setup and observational 106 data are introduced. The modelled mean plume structure is briefly described in section 107 3. Volume transport associated with the mesoscale variations of the overflow is shown in 108 section 4, while spatial and temporal characteristics of the mesoscale eddies associated with 109 the unstable overflow are presented in section 5. In section 6, the generation mechanism 110 of the mesoscale variability is addressed. Section 7 discusses baroclinic instability and the 111 influence of varying inflow velocity on the plume dynamics. Finally, conclusions are given in 112 section 8. 113

¹¹⁴ 2. Model setup and observational data

115 a. Model setup

The hydrostatic version of the z-level Massachusetts Institute of Technology general 116 circulation model (MITgcm) (Marshall et al. 1997) is employed to perform simulations on 117 an f plane. The model setup is similar to that of Riemenschneider and Legg (2007) but differs 118 in a few aspects, mainly the domain size, the mixing scheme, and the advection scheme for 119 tracers. The model domain is extended further south and west (960 km \times 736 km; Fig. 120 1) to minimise the influence of the overflow water at the (closed) southern boundary upon 121 the region downstream of the FBC, and to examine the properties of eddies that propagate 122 along the Icelandic coast. The f plane approximation holds as the gravity current crosses 123 the sill and travels westward on the slope. As it flows southward along the Icelandic coast, 124 planetary β effects can play some role, but this region is not a focus in the current work. 125 A smoothed and gridded Smith and Sandwell (1997) bathymetry is used and is rotated 45° 126 counterclockwise from the true north. The runs have a horizontal resolution of 2 km, and 127 a vertical resolution of 100 m in the upper 400 m, 50 m between 400 and 600 m, and 25 128 m below 600 m. There are 64 vertical levels in total. Notice that even with a horizontal 129 resolution of 2 km, the FBC is resolved only by 7–8 grid points given its width of ~ 15 km. 130

¹³¹ The model uses a partial step topography to represent the depth levels more accurately.

In contrast to Riemenschneider and Legg (2007), who used zero explicit diffusivity, we use the K-Profile Parameterisation (KPP) (Large et al. 1994) for the calculation of vertical diffusivity and viscosity in order to account for shear-induced mixing by the dense overflow water. Horizontal diffusivity is set to zero. Horizontal viscosity is calculated by the scaleselective biharmonic Leith (1996) scheme. No-slip conditions are applied at the bottom and side boundaries, and a quadratic drag ($C_d=2\times10^{-3}$) is used. The model employs a third-order direct-space-time flux limiter advection scheme for tracers.

The model is driven at the northern boundary of the domain (in the Faroe Shetland 139 Channel; see Figure 1) by an inflow (2.6 Sv, 1 Sv $\equiv 10^6 \text{ m}^3 \text{ s}^{-1}$) of dense water ($\rho = 1028.07 \text{ kg}$ 140 m^{-3}) below 700 m, which is representative of the observed flow conditions (Riemenschneider 141 and Legg 2007; Mauritzen et al. 2005). An outflow is prescribed in the upper 300 m of the 142 forcing area to balance the volume of the inflow. The rest of the northern boundary and 143 the boundaries in the east, west, and south are all closed. Wind and tides are not taken 144 into account. In the sensitivity experiments where forcing is varied, only the magnitude 145 of the inflow velocity is changed, but not the density of the inflow water and the area of 146 the forcing region. Above the dense inflow water and inside the model domain, a linear 147 stratification $(N^2 = 8.12 \times 10^{-7} \text{ s}^{-2})$, where N is the buoyancy frequency) derived from 148 observations is used (Riemenschneider and Legg 2007). A linear equation of state is applied 149 in the model. A passive tracer τ with a value of 1 is prescribed for the overflow water at the 150 northern boundary, while for the ambient water $\tau = 0$. In the following analysis, a threshold 151 of $\tau = 0.1$ is used to define the overflow interface, which yields similar results as using a 152 definition of $\rho = 1027.65$ kg m⁻³ in the region near the channel (Mauritzen et al. 2005). 153

The model is spun up for 80 days with a time step of 300 s, after which a steady state near the FBC has been reached. Then it produces 8-hourly output between 80 and 110 days. During this period the plume nearly reaches the southern boundary, thus the flow at the FBC region is not affected by the boundary. Higher frequency output (one hour) is saved for six sections (see Fig. 1) in order to better examine the variations and temporal evolution of the overflow downstream of the FBC.

160 b. Observations

Times series of temperature, salinity and velocity in the water column were collected 161 near the FBC in the period 28 May 2012 to 5 June 2013. Here we use data from three 162 moorings, S2, S3, and M1 (see Fig. 1 for locations) that were deployed about 70 km down-163 stream of the FBC sill, in a triangular pattern with 10-20 km horizontal separation. The 164 moorings were densely instrumented, and were equipped with multiple Sea-Bird Electron-165 ics temperature recorders (SBE39 and SBE56), conductivity-temperature-pressure recorders 166 (SBE37, Microcats), Anderaa recording current meters (RCM7/8), and acoustic Doppler 167 current profilers (ADCPs). The ADCPs were RD-Instruments Workhorse 75, 150 or 300 168 kHz, depending on the vertical range that was covered by the mooring. The sampling in-169 terval was 15 s for SBE56, 5 min for SBE37 and SBE39, 5 to 60 min for ADCPs with short 170 to long range, and 60 min for the RCMs. The vertical coverage concentrated on the over-171 flow plume, similar to the measurements of Darelius et al. (2011), but with improved design 172 (less knock-down) and vertical extent to capture all the mesoscale variability. The data 173 from the instruments are corrected for the mooring motion as described in Darelius et al. 174 (2011). Velocity and temperature records are averaged in one-hour bins and interpolated 175 linearly into a uniform height above bottom (hab)-time grid. The data are then low-pass 176 filtered with a 25-h cutoff to remove the tidal and high frequency variability. Density mea-177 surements are not available at comparable vertical resolution, hence we rely on temperature 178 as a proxy for density. The relationship between temperature and density in the plume is 179 tight (Darelius et al. 2011); water with a temperature of 6 °C has a potential density of 180 about 1027.67 kg m⁻³, corresponding to the upper part of the plume-ambient interface. To 181 compare with the model results, the volume transport of water colder than 6 °C past the 182 mooring was calculated. Furthermore, we present the temperature data collected 100 m 183

above bottom (mab) and use the velocity data from the same level to estimate the relative vorticity, $\xi = \partial v / \partial x - \partial u / \partial y \approx \Delta v / \Delta x - \Delta u / \Delta y$.

¹⁸⁶ 3. Mean plume structure

Given the similar model configuration to that of Riemenschneider and Legg (2007) and 187 the thorough discussion of the plume structure therein, the mean plume structure is only 188 briefly discussed here. Fig. 2(a) shows the 30-day averaged plume thickness and the velocity 189 at 100 mab. The dense inflow water enters the FSC from the northern boundary and turns 190 right following the channel. There is a small leakage of dense water across the Wyville 191 Thomson Ridge (~ 0.44 Sv, which is consistent with the currently accepted value of 0.3 192 Sv), while the majority of the water flows along the FBC. Upon reaching the narrow and 193 shallow sill, the dense water plunges down the slope with velocities up to $\sim 1 \text{ m s}^{-1}$. The 194 overflow plume thins and widens as it sinks, accompanied by strong entrainment and mixing 195 (Riemenschneider and Legg 2007; Fer et al. 2010). The plume thickness drops below 200 m 196 and the width increases to ~ 200 km within 100 km downstream of the sill. 197

The mean plume bifurcates into two branches near $x \sim -100$ km in response to a local topographic feature. The northern branch flows predominantly westward following the isobaths (between -500 and -800 m), whereas the southern branch travels southwestward with a cross-isobath component of velocity. Such a bifurcation has been observed by autonomous Seagliders (Beaird et al. 2013). The two branches merge when approaching Iceland and turn sharply southward following the topography. The southward flow is confined above the steep Icelandic continental slope.

Fig. 2(b) and (c) show cross-sectional views of the zonal velocity (u) seen from the east across section 1 at the sill and section 3 located 60 km downstream (see Fig. 1 for locations). At the sill section (Fig. 2b), the current leans on the right flank of the channel (looking downstream) and exhibits strong asymmetry, with the thinner plume on the left

and the thicker on the right. The mean plume has a maximum thickness of 200 m and a 209 plume-averaged velocity of 0.73 m s^{-1} . A weak return flow (0.15 m s⁻¹) occupies nearly the 210 whole water column on the left flank of the sill (Faroe Bank side). Such a plume structure in 211 a rotating channel is set up by rotation, the pressure gradient, and bottom friction, with the 212 current being geostrophically balanced in the cross-channel direction. The plume thickness 213 and velocity reduce to 140 m and 0.29 m s⁻¹, respectively, at section 3 due to the thinning 214 and widening (~ 50 km) of the entraining plume. The current settles on the slope and 215 meanders up and down in association with the translation of eddies. The eddy-associated 216 streamwise transport of the plume will be addressed in the following section. 217

²¹⁸ 4. Transport and eddy regime

The time-averaged plume structure presented in the previous section smooths out the 219 mesoscale variability. The flow itself destabilises shortly after passing the sill and the plume 220 appears in instantaneous snapshots as boluses of dense water associated with strong, al-221 ternating cyclones and anticyclones. Therefore, the instantaneous plume thickness exhibits 222 distinct patchiness and variation. The eddies generated migrate along the isobaths and can 223 reach the southern boundary of the domain (see the supplementary animations in the ap-224 pendix that show the time evolution of the plume interface). Mesoscale variability of the 225 overflow is the main scope of this work and will be analysed in the sections below. 226

Regular flow oscillations already exist above and upstream of the sill, as reported from observations (Hansen and Østerhus 2007; Darelius et al. 2011) and modelling studies (Cuthbertson et al. 2014). The oscillations in volume transport amplify with increasing downstream distance. Time series of the volume transport for a 30-day period are shown in Fig. 3 for sections 1 to 4. Due to the strong entrainment and mixing that occur with the descending of the overflow, the mean transport continuously increases downstream of the sill (see the mean values as indicated by the squares in Fig. 3). The most abrupt increase takes place

between sections 2 and 3, suggesting that this region accounts for most of the entrainment. 234 During strong transport periods, the transport increases monotonically from section 1 to 4, 235 whereas during weak periods the transport is lower at sections 3 and 4 than that at sections 236 1 and 2. Following the method of Riemenschneider and Legg (2007) for the calculation of a 237 bulk entrainment coefficient α_E (see Eqs. (7)-(9) in their paper) that estimates the change 238 of overflow volume transport in and out of a given region, $\alpha_E = 3.6 \times 10^{-4}$ is obtained for the 239 region confined within x = [-100, 0] and y = [-50, 50] km where most entrainment occurs 240 downstream of the sill. This is close to that estimated by Riemenschneider and Legg (2007) 241 $(\alpha_E \sim 3 - 5 \times 10^{-4})$. However, no explicit diffusivity was used in their model, whereas in 242 the current setup the KPP scheme that accounts for vertical mixing is employed, which can 243 lead to a difference in calculating α_E . 244

Spectral analysis shows that the time variability at sections 1-4 has a uniform dominant 245 period of ~ 4 days, consistent with mooring observations in this region (Darelius et al. 246 2011). Overflow-induced mesoscale cyclones and anticyclones emerge approximately 30 km 247 downstream of the sill. Fig. 4 shows a Hovmöller diagram of the overflow volume transport 248 downstream of the sill in which strong pulses can be clearly identified from 30 - 40 km 249 downstream. These eddy-induced pulses build up and reach a maximum near sections 3 and 250 4; then they gradually weaken as the plume flows along the slope. The simulation results 251 are consistent with the eddy regime identified by Cenedese et al. (2004) and Ezer (2006). 252 The variability weakens by the time the plume reaches section 5, where no distinct period 253 can be inferred. 254

The appearance of eddies from x = 30 - 40 km downstream is consistent with the analytical stability analysis of a hydraulically driven sill flow in a rotating parabolic channel (Pratt et al. 2008). A dimensionless parameter κ , defined as $\kappa = 2\alpha g'/f^2$, was found to play a decisive role in the stability of overflow and its subsequent nonlinear evolution. Here f is the Coriolis parameter, α is the parameter that determines the parabolic shape of the channel (in a form of $h(y) = \alpha y^2$), and $g' = g\Delta\rho/\rho_0$ is the reduced gravity where g is the acceleration

due to gravity, $\Delta \rho$ is the density difference in a two-layer system, and ρ_0 is a reference 261 density. The overflow is unstable for finite κ ; small positive κ (dynamically wider channels) 262 is associated with strong instability and is accompanied by the generation of eddies (with 263 a threshold of ~ 0.08), whereas instability decreases as κ increases (dynamically narrower 264 channel). Pratt et al. (2008) also examined the instability of a hydraulically-controlled flow 265 over a sill and into an open deep basin, and found that with an upstream channel curvature 266 of $\kappa = 0.4$, no eddies appear when the downstream channel has the same curvature, but they 267 do appear when downstream channel curvature is reduced to below 0.08. 268

Given the close similarity of Pratt et al. (2008)'s model configuration with the FBC, 269 the values of κ are calculated from x = 50 to -100 km downstream by parabolic fit with 270 the meridional cross-sectional topographies (using $g' = 4.3 \times 10^{-3} \text{ m s}^{-2}$). The channel is 271 narrowest near the sill and then widens downstream. Consequently, the calculated κ , with 272 a value of ~ 0.7 upstream, increases to ~ 1.6 near the sill and then drops drastically to 273 ~ 0.05 at $x \sim -40$ km (figure not shown). After passing over the sill, the most abrupt drop 274 occurs between x = -30 and -40 km, which coincides with the position in the simulation 275 where eddies develop, highlighting the significance of topographic control on the generation 276 of instability and eddies in the FBC region. 277

²⁷⁸ 5. Spatio-temporal characteristics of the eddies

The discussion in the previous section suggests strong eddy activity downstream of the sill. In this section spatial features of the eddies and their time evolution are examined in more detail.

Fig. 5 and Fig. 6 show two snapshots (t = 90.33 and 92.33 days) of the surface and bottom-averaged scaled relative vorticity (ξ/f) and the velocity field. The averaging at the bottom is done between 50 m above and 50 m below the plume interface (defined as $\tau = 0.1$). The two figures are representative of the initial phase of eddy evolution. Three eddies are

present at t = 90.33 days (Fig. 5), including two cyclones (C1 and C2) and one anticyclone 286 (AC1). C2 is located near x = -40 km and is in the nascent stage of development. The eddies 287 are vertically aligned, with their bottom and surface signatures situated at approximately 288 the same location. The eddy features on the surface are apparently caused by the unstable 289 descending overflow. The overflow plume thus has a surface signature that is consistent with 290 barotropic features seen in both satellite and in situ observations (Høyer and Quadfasel 291 2001; Darelius et al. 2013). The generation mechanism of the eddies is the topic of the next 292 section. 293

Two days later at t = 92.33 days (Fig. 6), the three eddies move westward and exhibit meandering patterns. C1 propagated westward along the 800-m isobath in a coherent manner, whereas AC1 has slumped across the slope and descended to ~ 1000 m depth. Meanwhile, C2 is at the mature stage and also follows the 800-m isobath. Tanaka and Akitomo (2001) also reported downslope migration of anticyclones in their idealised numerical simulation of baroclinic instability of a density current flowing along a sloping bottom.

Fig. 7 shows the time evolution of the surface and bottom-averaged ξ/f along section 3, 300 together with the meridional extent and volume transport of the plume. As in Fig. 5 and 301 Fig. 6, red and blue patches are related to cyclones and anticyclones, respectively. Note that 302 the blue patches south of the northern boundary of the plume are caused by bottom friction 303 and are not related to the eddies. At section 3, cyclones and anticyclones alternate regularly 304 in time, with anticyclones being weaker (note the colour bar) and of shorter duration than 305 cyclones (Fig. 7b). Also, the centres of anticyclones are located further upslope than those of 306 cyclones along section 3. However, they later move downslope across the isobaths, whereas 307 cyclones travel along the 800-m isobath (see Fig. 6). 308

Cyclones are associated with wider plumes and larger volume transports (Fig. 7b). The position of the upslope end of the plume is approximately steady, whereas its downslope edge significantly oscillates across the slope, with a distance as large as 30 km. This is a manifestation of the potential energy release due to baroclinic instability near the lower incropping of the plume (Swaters 1991; Reszka et al. 2002), and will be examined in the next
section. Meanwhile, the tracer-weighted mean position of the plume core (grey lines in Fig.
7), here defined as (Riemenschneider and Legg 2007)

$$Y(t) = \frac{\int y\tau(y,z,t)dydz}{\int \tau(y,z,t)dydz},$$
(1)

³¹⁶ is also correlated with the passing eddies: the core is located downslope during cyclones, ³¹⁷ whereas anticyclones are regularly associated with mean upslope excursion of the plume.

For the plume-induced eddy features at the surface, cyclones and anticyclones are no less pronounced than those at the bottom (Fig. 7a). They are spatially and temporally more coherent, and have similar relative potential vorticity magnitude and duration.

Foldvik et al. (1988) and Darelius et al. (2011) showed that pairs of cyclonic and an-321 ticyclonic eddies moving westward in the Northern Hemisphere are recorded as clockwise 322 (CW) motions at a mooring on the right side of the eddy centre (looking downstream) and 323 as counterclockwise (CCW) motions on the left side, and as rectilinear motions in the core 324 (see the sketch in Fig. 15 of Darelius et al. (2011)), regardless of the polarity of the passing 325 eddies. Such a scenario is helpful for delineating eddy properties, especially for identifying 326 the core and lateral extent of the propagating eddies. Fig. 8 shows the time evolution of 327 the surface and the bottom velocity vectors at upslope (y = -4 km), middle (y = -16 km), 328 and downslope (y = -28 km) of the eddy centres at section 3. The mean velocity vectors 329 are marked on the right. Also shown is the temporal variation of the density anomaly at 330 100 mab, with blue colours indicating a colder and denser plume. Although distorted by 331 the large westward bottom current, the rotation of the velocity vectors can be clearly seen 332 upslope (CW) and downslope (CCW) of the eddy centre, whereas in the centre (y = -16)333 km), an overall rectilinear feature is seen, but CW and CCW motions are weak due to the 334 slight meridional migration of the eddy centre (see Fig. 7). The location of y = -16 km 335 corresponds to the depth of ~ 800 m, which can be diagnosed as the position of the eddy 336 centre. The above properties are consistent with mooring observations reported by Darelius 337 et al. (2011). For the surface vectors, the mean velocities are small and the rotating veloc-338

ity vectors become more apparent. Likewise, CW, rectilinear, and CCW motions occur at y = -4, -16, and -28 km, respectively.

Comparing Fig. 7 and Fig. 8, it can be readily seen that cyclones are associated with 341 denser, colder overflow water, and anticyclones with lighter, warmer water. This can also 342 be inferred from the velocity vectors; when the centre of cyclones passes by, westward (east-343 ward) velocities are induced on the right (left) hand side, whereas in the centre itself the 344 velocity switches from southward to northward. Correlation of cyclones (anticyclones) with 345 larger (smaller) volume transport and colder (warmer) bottom water is sought from field 346 observations. Fig. 9 shows a 15-day time series of ξ/f , volume transport, and temperature 347 measured in June 2012. ξ is estimated from the mooring triangle S2/S3/M1 at 100 mab, 348 and volume transport and temperature (100 mab) are from S2 (see Fig. 1 for locations). S2 349 is situated at ~ 800 m depth, and is approximately in the path of eddy centres. The coun-350 terphase of ξ with volume transport and temperature in Fig. 9 is striking (the correlation 351 between ξ and volume transport past S2 is -0.83 and between ξ and temperature at S2 is 352 -0.82), supporting the model-simulated correlation of vorticity with volume transport and 353 temperature of the plume. 354

In summary, the above discussions illustrate that the generated eddies significantly affect the plume characteristics and dynamics downstream of the sill. Cyclones are associated with a larger plume thickness and width, larger volume transport, colder and denser water, and a plume core located further downslope. The opposite is true for anticyclones.

Tracing the eddies along the slope, it is found that cyclones migrate westward along the slope and turn sharply southward east of Iceland, following topographic contours, after which they propagate southward (with a speed of ~ 0.23 m s⁻¹) banking off the Icelandic slope down to the southern boundary of the model domain. In contrast, anticyclones gradually weaken and barely reach the Icelandic slope. Fig. 10a shows a snapshot of the bottomaveraged ξ/f and the velocity field east of Iceland (t = 102 days). There is one cyclone approaching section 5 and one cyclone passing by section 6, and in between the two sections

there are some cyclonic plume features, but no clear anticyclonic signatures are seen. The 366 volume transport across section 5 also exhibits strong variability but no distinct period can 367 be inferred. The mean volume transport across section 5 reaches 3 Sv (Fig. 10b), which is 368 33% greater than that across section 4. The increase of the mean volume transport from 369 section 5 to section 6 is not obvious, because the plume near section 6 has not reached a 370 steady state in the last 30 days of the model output, and an average of the volume transport 371 in the last 10 days yields a similar value of 3 Sv. Similar to section 3, the passage of cyclones 372 is associated with large volume transport at section 6 (see the lower circle in Fig. 10b), and 373 the eddies are also vertically aligned and barotropic (figure not shown). 374

³⁷⁵ 6. Generation mechanism: Baroclinic instability

There are two main processes responsible for the instability of a bottom-attached overflow plume and the subsequent generation of mesoscale eddies. One is due to the bottom frictioninduced vortex stretching of the captured overlying ambient water, which is accompanied by the generation of cyclones in the upper layer. The associated dynamical processes were examined in the laboratory by Lane-Serff and Baines (1998), who found that Ekman drainage is important in stretching the water column and the properties of the generated eddies depend largely on a stretching parameter (only with sufficient stretching can eddies be generated).

The other process is baroclinic instability, which is very common in geophysical fluids and 383 has been the subject of much research on rotating hydraulics (e.g., Smith 1976; Swaters 1991; 384 Reszka et al. 2002). Baroclinic instability occurs due to the release of mean potential energy 385 that is stored in the density field. A necessary condition for baroclinic instability is that 386 the lateral gradient of potential vorticity changes sign somewhere in the vertical plane (Gill 387 et al. 1974). For a bottom-attached plume flowing on a slope, baroclinic instability tends to 388 develop near the downslope incropping due to the steeper inclination of isopycnals, which can 389 cause cross-slope spatial asymmetries of the perturbed plume (Swaters 1991; Choboter and 390

Swaters 2000). The induced perturbations are often manifested as downslope propagating sub-plumes that are detached from the main stream (Jiang and Garwood Jr. 1995; Reszka et al. 2002). Reszka et al. (2002) also showed alternating appearance of eddies of both signs in the upper layer, in a similar manner to the primitive equation modelling of Jungclaus et al. (2001).

Vortex stretching is also involved in baroclinic instability, but in a manner different from 396 that induced by the Ekman drainage due to bottom friction. For a baroclinically unstable 397 overflow plume propagating on a slope, the descent and retreat of the wavy plume leads to the 398 stretching and squeezing of the water column, and thus contributes to the eddy formation. 399 In the simulation presented in the previous section, the modelled results bear close re-400 semblance to baroclinic instability, e.g., the spatial asymmetry of the flow at the upper and 401 lower incroppings, and the alternating appearance of cyclones and anticyclones. Below, a 402 linear instability analysis for the FBC overflow is performed and a calculation of the eddy 403 heat flux (EHF) and energy conversion rate are conducted to further verify the prevalence 404 of baroclinic instability at this region in a qualitative and quantitative way. 405

406 a. Linear instability analysis

Here the two-layer linearised baroclinic model of Reszka et al. (2002) is employed to 407 perform a linear instability analysis. The model is based on Swaters (1991) model, but it 408 incorporates a continuously stratified upper layer and allows for a more accurate represen-409 tation of overflows on a linear slope. Important input parameters of the model include the 410 plume thickness and width, total fluid depth, upper and lower layer density anomalies, upper 411 layer stratification, and topographic slope. The instability is most sensitive to the plume 412 thickness: the maximum growth rate and the most unstable along-channel wavenumber both 413 increase with increasing plume thickness. 414

In applying the model to the FBC, the plume properties along section 3 (Fig. 2c) are used for the model input. This section exhibits a nearly linear slope and the instabilities are at the

nascent stage there. A density profile at the core of the plume is used to estimate the upper 417 and the lower layer thicknesses and the density anomaly for a two-layer configuration. Given 418 the sensitivity of the model behaviour to the input parameters, caution must be taken when 419 approximating the MITgcm output with a two-layer profile. To that end, the continuous 420 profile from the model is fitted to a two-layer profile using least-square minimisation. The 421 input parameters and their values for section 3 are the plume thickness (160 m), the plume 422 width (60 km), the total depth (900 m), the density anomaly (0.41 kg m^{-3}) , the stratification 423 $(8.12 \times 10^{-7} \text{ s}^{-2})$, and the slope (0.01). 424

With the input parameters above, the predicted wavelength and period for the most un-425 stable along-channel wave mode are 75 km and 3.4 days, respectively. There is a reasonable 426 agreement with the MITgcm-modelled results of ~ 100 km and ~ 4 days. Note that, com-427 pared to the rest of the variables, the instability analysis is sensitive to the plume thickness 428 in this region which shows large variation due to the mesoscale variability. For example, 429 increasing and decreasing the plume thickness by 20 m changes the predicted periods of the 430 most unstable mode to 2.9 and 3.9 days, respectively. Nevertheless, this does not jeopardise 431 the consistency of the MITgcm simulation with the analytical baroclinic model, which sup-432 ports the presence of baroclinic instability in this region. On the other hand, removing the 433 upper layer stratification increases the period from 3.4 to 5.4 days, similar to the conclusion 434 of Reszka et al. (2002). 435

436 b. Eddy heat flux

For baroclinically unstable flows, loss of available potential energy leads to a downgradient lateral transport of heat. At the FBC, this corresponds to an eddy heat flux (EHF) directed to the right of the plume (looking downstream). The EHF, $\overline{\mathbf{u}'T'}$, where $\mathbf{u}' = (u, v)$ and T'are velocity and temperature fluctuations (deviation from the time mean), and an overbar denotes a time mean, indicates a temporal correlation between \mathbf{u}' and T' induced by the eddies. Below, the distribution of the EHF downstream of the FBC is calculated, to further ⁴⁴³ confirm the presence of baroclinic instability in this region, and to quantify eddy energetics.
⁴⁴⁴ It is known that the EHF is comprised of a rotational (nondivergent) component and a
⁴⁴⁵ residual divergent component (Marshall and Shutts 1981; Cronin and Watts 1996; Bishop
⁴⁴⁶ et al. 2013),

$$\overline{\mathbf{u}'T'} = \overline{\mathbf{u}'T'}^{div} + \overline{\mathbf{u}'T'}^{rot}.$$
(2)

The rotational EHF plays no dynamical role in transporting heat flux but can mask the dynamically important divergent EHF, which advects heat across the front and lowers the available potential energy. One method to estimate the divergent EHF was developed by Marshall and Shutts (1981) who showed that, given the prerequisite that the mean velocity field approximately follows mean temperature contours, i.e., $\overline{\mathbf{u}} \cdot \nabla \overline{T} \approx 0$, the rotational EHF is then associated with the temperature variance,

$$\overline{\mathbf{u}'T'}^{rot} = \gamma \hat{\mathbf{k}} \times \nabla \overline{T'^2},\tag{3}$$

453 where $\hat{\mathbf{k}}$ is the unit vector directed upward, and

$$\gamma = \frac{1}{2} \frac{d\Psi}{d\overline{T}}.$$
(4)

Here $\overline{\Psi}$ is the mean geostrophic streamfunction of the flow (in m² s⁻²). For $\overline{\mathbf{u}} \cdot \nabla \overline{T} = 0$, $\overline{\Psi} = \overline{\Psi}(\overline{T})$, and $d\overline{\Psi}/d\overline{T}$ can be empirically estimated from the scatter plot of $\overline{\Psi}$ and \overline{T} at multiple locations. Therefore a constant γ can be obtained as the slope from a linear regression. Then the divergent EHF can be estimated using Eq. (2) and Eq. (3),

$$\overline{\mathbf{u}'T'}^{div} = \overline{\mathbf{u}'T'} - \gamma \hat{\mathbf{k}} \times \nabla \overline{T'^2}.$$
(5)

⁴⁵⁸ Note that the divergent EHF is estimated as the residual of the rotational EHF. It is not
⁴⁵⁹ guaranteed to be purely divergent and can also contain a rotational component (Bishop et al.
⁴⁶⁰ 2013).

In the region downstream of the FBC, the condition of $\overline{\mathbf{u}} \cdot \nabla \overline{T} \approx 0$ is satisfied at 100 mab (figure not shown), and the regression of $\overline{\Psi}$ against \overline{T} in the plume-occupied locations

yields $\gamma = 0.49$. Then the rotational and divergent EHF are calculated according to Eq. 463 (3) and Eq. (5), using the time mean over the last 30 days (between 80 and 110 days). A 464 caveat is that the 30-day duration is not long enough to allow for a robust statistical analysis 465 of the EHF. This is a compromise as longer runs would result in reflections of the plume 466 from the southern boundary. However, as long as the model has reached a steady state near 467 the FBC and the eddies show up regularly, the 30-day analysis (including 7-8 events of 468 pairs of cyclone and anticyclone) is expected to yield similar results to those of longer runs. 469 Fig. 11 shows the decomposition of the total EHF at 100 mab for the region downstream 470 of the FBC, superimposed on the temperature variance. The total EHF is insignificant 471 before x = -40 km; then it displays smooth, upslope vectors between 500 and 1200 m 472 isobaths until x = -100 km where it nearly vanishes. After decomposing the total EHF 473 into its rotational and divergent components, a different scenario appears. The rotational 474 EHF anticyclonically follows the temperature variance (as implied by Eq. (3)), being most 475 pronounced in an elongated region starting from x = -15 km near the sill down to 1200 476 m depth and a secondary (less pronounced) region just above of it. These two regions with 477 high temperature variance are correlated with the two mean plume paths shown in Fig. 2, 478 highlighting the role of eddies in creating such variance along the mean path. 479

Meanwhile, the dynamically important divergent EHF is comparable in magnitude with 480 its rotational counterpart, and has a more complex but intriguing structure. The vectors are 481 directed downslope between the sill and x = -40 km, cancelling the rotational component 482 in this area. The eddy activity upstream of x = -40 km is weak (Fig. 4), which results in 483 the small covariance between \mathbf{u}' and T' that leads to a weak total EHF (Fig. 11a). In this 484 region, the plume is more concentrated along the mean path, hence $\overline{T'^2}$ decreases sharply 485 across the right edge of the plume, leading to a strong eastward rotational EHF (from Eq. 486 (3), the x component of the rotational EHF is $-\gamma \partial \overline{T'^2}/\partial y$). Since the total EHF is small, 487 this is balanced by a westward divergent EHF. On the other hand, after x = -40 km, where 488 the mean plume flows along the depth contours and eddies have developed, the EHF vectors 489

have a strong rightward component that is directed upslope. This component is responsible 490 for the cross-plume heat exchange and is correlated with the strong eddy activities due to 491 baroclinic instability. The overall distribution of the divergent EHF does not differ from 492 that of the total EHF: they both exhibit rightward heat flux, with the former aligned more 493 perpendicular to the mean plume path. Moreover, at the deeper edge of the mean plume 494 an upslope transport with a slight leftward transport is exhibited. Note that the magnitude 495 of the calculated EHF (maximum ~ 0.8 °C m s⁻¹) agrees well with observations reported 496 by Darelius et al. (2011), and is comparable with that observed in the Gulf Stream (Cronin 497 and Watts 1996). 498

Fig. 12 shows the vertical structure of the divergent EHF at two locations along the mean 499 plume path (see Fig. 11c for their positions). The left profile is close to section 3 and is 500 located on the slope. The two profiles are representative of the distribution of the divergent 501 EHF in the vertical in this region, and they are also associated with a high baroclinic 502 conversion rate as will be shown in the next subsection. In the profiles the divergent EHF 503 has a northward (upslope) component in the vertical, and a westward component near the 504 bottom that progressively turns eastward further up. For the profile on the slope near section 505 3 (the left one in Fig. 12), the magnitude of the divergent EHF reaches a maximum near 506 \sim 120 mab. As Bishop et al. (2013) reasoned, the depth where the maximum divergent 507 EHF takes place corresponds to where the mean lateral gradient of the quasi-geostrophic 508 potential vorticity changes sign in the vertical, which is a necessary condition for baroclinic 509 instability (Gill et al. 1974). Calculation of the lateral gradient of the potential vorticity on 510 the slope shows that it indeed changes sign near the maximum divergent EHF. For the right 511 profile in Fig. 12 when the plume is descending, the maximum divergent EHF is confined to 512 the bottom and gradually diminishes upward. 513

514 c. Baroclinic conversion rate

Since only the horizontally divergent EHF is associated with heat advection, a dynamical baroclinic conversion rate (BC) can be defined as the product of EHF with $\nabla \overline{T}$, which measures the energy conversion from mean potential energy to eddy potential energy (Cronin and Watts 1996; Bishop et al. 2013),

$$BC = -\frac{\alpha_0 g}{\theta_z} \overline{\mathbf{u}' T'}^{div} \cdot \nabla \overline{T},\tag{6}$$

where α_0 is an effective thermal expansion coefficient (~ 10⁻⁴ °C⁻¹), $\theta(z)$ is the depthdependent background potential temperature, and the subscript z denotes the vertical gradient.

Fig. 13 shows the distribution of the divergent EHF superimposed on the dynamical BC. 522 The strongest conversion occurs along the mean path of the plume before bifurcation and 523 has values of $\sim 0.6 \times 10^{-5} \text{ m}^2 \text{ s}^{-3}$. Two positions with strong conversion in Fig. 13 have 524 vertical profiles of the divergent EHF shown in Fig. 12. The calculated baroclinic conversion 525 rate here is a few times larger than that observed in the Gulf Stream (Cronin and Watts 526 1996), suggesting strong mean-to-eddy energy conversion in this region. Some patches with 527 negative values are also visible on the lower edge of the upper branch of the mean flow after 528 bifurcation, but their magnitude and area are much smaller than the region with positive 529 conversion rate. 530

⁵³¹ 7. Discussion

⁵³² a. Baroclinic instability of the FBC overflow

The evidence presented in section 6 is in support of the prevalence of baroclinic instability in the FBC region, e.g., the cross-frontal asymmetry of the plume with much larger variation at the downslope incropping, the alternation of cyclones and anticyclones, the agreement with ⁵³⁶ a linear analytical model, a rightward-directed divergent EHF, and a region with strong
⁵³⁷ baroclinic conversion rate. The magnitudes of the EHF and the energy conversion are
⁵³⁸ quantified and their distribution are also mapped downstream of the FBC sill.

Darelius et al. (2011) discussed the dominant generation mechanisms of mesoscale eddies 539 at the FBC region. They ruled out the possibility of vortex stretching due to bottom friction 540 by performing a scaling estimation of Lane-Serff and Baines (1998)'s laboratory study. The 541 estimate agrees with their observations in periodicity but there is a disparity with length 542 scale and speed. Darelius et al. (2011) also discarded baroclinic instability as the origin of the 543 oscillations on two grounds: 1) observations indicated a downward phase velocity and 2) the 544 mean slope of the isotherms was approximately parallel to the bottom. A closer inspection of 545 their Fig. 6 suggests that the mean 3 °C isotherm (representative of the isopycnal delineating 546 the overflow plume) slopes either in the opposite or the same direction as the isobaths, 547 reminiscent of case 1 and case 3 of Pavec et al. (2005); both cases are unstable modes 548 for quasigeostrophic theory. The first point arises from a misinterpretation of the relative 549 phase profiles presented in their Fig. 11 where the vertical distribution of phase profiles 550 decreases with height above bottom. Darelius et al (2011) interpreted this observation as 551 being inconsistent with the vertical structure expected from baroclinic instability. When the 552 x-axis opposes the direction of the phase propagation of the unstable wave, as it is the case 553 in their choice of coordinate system (and also in this study), however, the phase is expected 554 to decrease with height (see Gill et al. 1974), consistent with the observations. Furthermore, 555 a vertical phase distribution decreasing with height above bottom means that the unstable 556 wave has a positive slope in the x-z plane; that is it leans against mean FBC overflow 557 current. This is consistent with the baroclinic instability, both in our model results and in 558 the observations of Darelius et al (2011). Darelius et al. (2011) interpreted their observations 559 in terms of topographic Rossby waves. As also noted by Spall and Price (1998), cyclones 560 propagate along the slope as topographic Rossby waves, consistent with the baroclinically 561 unstable waves that arise in the analytical model of a dense gravity current on a slope 562

⁵⁶³ (Swaters 1991; Reszka et al. 2002). In this aspect, Darelius et al. (2011)'s observations of ⁵⁶⁴ topographic Rossby waves are not inconsistent with the present results.

As also discussed in the beginning of section 6, it should be addressed that vortex stretch-565 ing and baroclinic instability are not mutually exclusive, as the former process is part of the 566 latter one. The slump of the dense plume from the top of the sill must induce an abrupt 567 change of the thickness of the water column, thus stretches the upper layer and accounts 568 for some of the eddy activities. However, how much role it plays in the subsequent eddy 569 formation is unclear and is difficult to evaluate. An additional complication arises from 570 the presence of oscillations upstream of and above the sill, and how this impacts the desta-571 bilisation of the plume is also open to question. Ezer (2006)'s idealised setup of the FBC 572 overflow with a direct westward inflow upstream of the sill does not produce any oscillations 573 above the sill but yields similar eddy features to the present study, with only quantitative 574 differences, which implies that the oscillations above the FBC sill do not play a crucial role 575 in the formation of eddies downstream, but can certainly modify their properties. 576

577 b. Sensitivity to forcing

Observations have indicated seasonal variations of volume transport above the sill with 578 a maximum in summer and with an amplitude of 0.22 Sv, which is about 10% of the mean 579 volume transport (Hansen and Østerhus 2007). The change of the magnitude of the in-580 flow must have some influence on the overflow behaviour at the FBC region. Hence, to 581 examine the effect of inflow volume transport on the downstream variability and eddy activ-582 ity, four additional sets of sensitivity experiments with larger/smaller inflow velocities were 583 performed. The inflow velocities are 0.1, 0.25, 2, and 5 times of that in the reference exper-584 iment, whereas the inflow thickness in the northern boundary is unaltered. The outflow is 585 also increased/decreased accordingly for the balance of volume. The four experiments plus 586 the reference one are labelled, according to the increasing inflow strength, as E1, E2, E3 587 (reference), E4, and E5. The spin-up time for E1 and E2 is longer to ensure that the model 588

⁵⁸⁹ reaches a steady state.

For the five experiments, a comparison of plume structures along section 1 and section 590 3 is shown in Fig. 14, and the associated volume transport across the two sections is shown 591 in Fig. 15a. For the analysis here the passive tracer threshold ($\tau = 0.1$) is discarded, as 592 it becomes problematic in comparing plume profiles for the five experiments, as a result of 593 varying amount of dilution in the upstream basin caused by different inflow velocities. The 594 results become sensitive to minor changes in the arbitrary tracer threshold of 0.1. Rather, 595 the isopycnal $\rho = 1027.65$ kg m⁻³ is chosen to define the plume interface at sections 1 and 3 596 (Mauritzen et al. 2005). Note that in the reference experiment, the plume interface defined 597 by $\rho = 1027.65$ kg m⁻³ is similar to that defined by $\tau = 0.1$ at the two sections, and it also 598 delineates the plume robustly for the other runs. Fig. 14 shows that the plume thickness 599 and the plume-mean velocity both increase with increasing forcing, as both are evident at 600 sections 1 and 3. As a consequence of the change of plume thickness, characteristics of the 601 most unstable along-slope mode vary, and the time interval between two consecutive eddies 602 decreases with increasing plume thickness, as is implied by the linear model of Reszka et al. 603 (2002) and in line with baroclinic instability. For example, the E5 case yields a period of 604 ~ 3.4 days (comparing to ~ 4 days in the reference experiment), whereas the E1 case has a 605 period of ~ 5.2 days. 606

On the other hand, comparing profiles of E4 and E5 with that of E3, it is observed that enhancing the inflow only slightly increases the plume thickness and the mean velocity at section 1, whereas at section 3 they are nearly identical. As a result, the inclination of the volume transport from E3 to E5 is much shallower than that from E1 to E3.

Simulation results indicate that eddies are generated for all the experiments. Even for the case of E1, being smaller only in the magnitude of volume transport and having weaker eddy activity, alternating cyclones and anticyclones are clearly present (figure not shown). The mean eddy kinetic energy (EKE), $\overline{EKE} = 1/2 \overline{\langle u'^2 + v'^2 \rangle}$ (where the overbar denotes the time mean, and the angle brackets denote the spatial mean within x = [-100, 0] and y = [-50, 50]

km for the whole water column), are calculated for the five experiments and shown in Fig. 616 15b as a function of volume transport across section 1. A monotonic, nearly linear increase 617 of EKE with the increasing volume transport across the sill is seen, indicating enhanced 618 eddy activity from E1 to E5. Also shown in Fig. 15b is the rate of change of background 619 potential energy $(E_{bt};$ where the subscript t denotes the time derivative) averaged over the 620 last 30 days for the five experiments. Changes in E_b directly measure the potential energy 621 changes resulting from diapycnal mixing (Winters et al. 1995; Ilicak et al. 2012), hence it 622 would be helpful to relate E_b with mixing for the five experiments which have the same 623 initial E_b in the system. The calculation shows increased E_{bt} from E1 to E5, indicating 624 increased mixing, and a nearly linear trend exists for E1-5 when plotting against the volume 625 transport across the sill (Fig. 15b), similar to the variation of \overline{EKE} . The increased mixing 626 is likely to be mainly caused by the enhanced eddy activity downstream of the FBC sill, as 627 is tentatively elucidated by the correlation between EKE and E_b in Fig. 15b. However, this 628 cannot be quantified with the present model and further studies are needed to evaluate the 629 eddy-induced mixing in this region. 630

631 c. Approach

In the current study the z-level MITgcm is used with a semi-idealised inflow forcing in 632 the northern boundary. In the future, realistic boundary forcing, together with the inclusion 633 of wind and tides, is expected to be implemented and offer more accurate and faithful 634 simulation of the FBC overflow dynamics. Moreover, the sufficiency of the model resolution 635 also remains to be evaluated. Riemenschneider and Legg (2007) illustrated that coarse 636 resolutions can degrade the simulation results, leading to a thicker and more sluggish plume. 637 For the current study, an experiment with higher vertical resolution (10 m for the plume-638 covered region down the sill) shows no pronounced change in the plume dynamics compared 639 to the reference experiment, however, the calculated entrainment coefficient α_E reduces by 640 22%. This difference addresses the influence of resolution and has implications on regional 641

⁶⁴² simulations as well as the representation/parameterisation of overflows in regional/climate⁶⁴³ models.

644 8. Conclusions

Earlier studies have reported energetic mesoscale variability in the region downstream of 645 the FBC sill in observations (Hansen and Østerhus 2000, 2007; Darelius et al. 2011, 2013) 646 and recently in regional numerical models (Ezer 2006; Riemenschneider and Legg 2007; Seim 647 et al. 2010). However, the physical mechanism of the unstable plume and the features of the 648 induced mesoscale eddies have not been studied in detail. In this paper, the above issues 649 are addressed using a regional simulation with realistic bathymetry and semi-idealised inflow 650 forcing, aiming to further understand the underlying dynamics of the FBC overflow and to 651 serve as a useful reference for correctly representing the overflow in climate modelling. 652

It is found that the volume transport of the overflow already exhibits oscillations at the 653 sill. The flow gets increasingly oscillatory as the plume descends and propagates along the 654 topographic contours. A distinct period of ~ 4 days is obtained for the temporal variation of 655 the volume transport. Mesoscale eddies of both signs induced by the unstable plume emerge 656 at about 40 km downstream of the sill, where the topography widens up and the curvature 657 drastically decreases. Once generated, cyclones approximately follow the 800-m isobath, 658 whereas anticyclones, emerging side by side with cyclones, propagate downslope across the 659 isobaths and are gradually diminished. Compared to the shorter duration of anticyclones, 660 cyclones travel westward along the slope and are steered leftward when approaching Iceland; 661 then they migrate southward banking on the Icelandic slope and finally reach the southern 662 boundary. At the region downstream of the FBC, the eddies are shown to be vertically 663 barotropic rather than being bottom-intensified. Correlation of the eddies with plume prop-664 erties indicates that cyclones are associated with a larger plume thickness and width, larger 665 volume transport, colder and denser water, and a plume core located further downslope, 666

⁶⁶⁷ whereas the opposite occurs for anticyclones. The above statements are corroborated by⁶⁶⁸ field observations.

Several pieces of evidence for the generation mechanism of the unstable plume have been 669 gathered, which all point to baroclinic instability. Larger oscillations are visible at the lower 670 edge of the plume, resulting in a wavy fluctuation and causing spatial asymmetry across 671 the plume, which are clear signs of release of potential energy stored in the mean plume. 672 A linear instability analysis of Reszka et al. (2002)'s two-layer analytical baroclinic model 673 yields a wavelength of 75 km and a period of 3.4 days for the most unstable along-slope 674 mode, which agrees well with the simulated results of ~ 100 km and ~ 4 days, respectively. 675 For the FBC overflow plume, baroclinic instability induces rightward EHF, of which 676 only the divergent component is dynamically important in quantifying cross-frontal heat 677 exchange. Model-derived distribution of the divergent EHF indicates a marked rightward 678 upslope component from the location where the eddies emerge, signifying the nature of 679 baroclinic instability and the importance of eddies in transporting heat. The calculated 680 divergent EHF agrees with observations and is comparable in magnitude with that observed 681 in the Gulf Stream. A map of mean-to-eddy potential energy conversion rate outlines an 682 elongated area with strong conversion. This area follows the path of the mean plume, starting 683 from near the sill down to 1200 m depth. 684

Four additional experiments with increased/decreased inflow flux in the northern boundary were performed to assess its influence on the overflow dynamics. It is found that the experiments all exhibit pronounced mesoscale variability and eddy activity, but differ in, e.g., plume profiles, volume transport, mean EKE, and E_{bt} . However, decreasing the inflow flux leads to a significant reduction of volume transport, mean EKE and E_{bt} down the sill, whereas increasing the inflow flux only slightly increases them. Both mean EKE and E_{bt} are nearly linearly proportional to the volume transport across the sill.

⁶⁹² Summarising, this study assesses the mesoscale variability of the FBC overflow and the ⁶⁹³ occurrence of baroclinic instability is demonstrated. In the future, simulations with realistic ⁶⁹⁴ boundary forcing and with the inclusion of wind and tides are expected to be performed. ⁶⁹⁵ Mesoscale variability can affect the circulation and hydrographic patterns and should be ⁶⁹⁶ accounted for in larger scale models. This merits further studies, especially for the roles of ⁶⁹⁷ eddies in diapycnal mixing and downslope volume transport.

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APPENDIX

Supplementary animations associated with this article are appended. The animations show, from two different angles of view, the three-dimensional evolution of the overflow plume in the last 15 days, with a time internal of 1/3 days (8 hours). The grey colour denotes the plume interface defined by $\tau = 0.1$. The four topographic contour lines are the 500, 1000, 1500, and 2000-m isobaths, respectively. The abbreviations in the animations are the same with those in Fig. 1.

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FIG. 1. Bathymetry of the model domain. The contour interval is 100 m. The thick contours indicate the 500, 1000, 1500, and 2000-m isobaths. Dense overflow water enters the domain from the northern boundary with a volume flux of 2.6 Sv, as indicated by the arrows. The red diamond denotes the location of the FBC sill. The inset shows the zoomed-in view of the FBC region, including the locations of the moorings M1, S2, and S3. Six sections labelled with numbers (1-4 shown in the inset) have higher model output frequency (one hour), and will be used later for analysis. The abbreviations in the figure are: FSC-Faroe Shetland Channel; FI-Faroe Island; FB-Faroe Bank; WTR-Wyville Thomson Ridge; FIR-Faroe Iceland Ridge. Faroe Bank Channel lies in between FI and FB.



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FIG. 3. Time series of volume transport across sections 1-4. Recall that section 1 is above the sill, whereas sections 2, 3, and 4 are 30, 60, and 90 km west of the sill, respectively. The colour-coded squares on the right denote the 30-day mean values for the four sections.



FIG. 4. Hovmöller diagram of the overflow volume transport (Sv) downstream of the sill. The dashed line denotes the location of the sill. Five triangles denotes the locations of sections 1-5.



FIG. 5. Horizontal sections for surface and bottom-averaged scaled relative vorticity $(\xi/f;$ colour) and velocity field (arrows) at t = 90.33 days. Overlain contours are isobaths with an interval of 100 m in the lower slice and of 500 m in the upper slice. Labelled C1 and C2 denote two cyclones, and AC1 denotes an anticyclone.



FIG. 6. The same as Fig. 5 but at t = 92.33 days.



FIG. 7. Hövmoller diagram of the scaled relative vorticity $(\xi/f; \text{ color})$ along section 3 for the surface (a) and the plume average (b). The black line in panel (b) denotes time series of the overflow volume transport across section 3 (with *y*-axis on the right). Two grey lines in both panels denote the time evolution of the tracer-weighted mean position of the plume, whereas the dotted lines define the lateral boundaries of the plume. The three triangles on the left correspond to the upper, (approximately) central, and lower parts of the translating eddies, respectively, and will be used in Fig. 8.



FIG. 8. Surface and bottom (100 mab) velocity vectors showing the eddy-associated rotating features of the overflow at three locations along section 3: y = -4, -16, and -28 km, corresponding to the upper, (approximately) central, and lower parts of the translating eddies, respectively (see the triangles in Fig. 7 for their positions). The rightmost vectors of the series are the 30-day mean. The underlain colour plot is the temporal variation of density anomaly relative to 1027.65 kg m⁻³. Therefore, the blue (red) colour corresponds to denser (lighter) and colder (warmer) water.



FIG. 9. Observed time series of scaled relative vorticity (ξ/f) at 100 mab (black curve), volume transport per unit width (in m² s⁻¹; red curve), and temperature (100 mab; blue curve). The relative vorticity is estimated from the mooring triangle S2/S3/M1. Volume transport and temperature data are from S2. The 15-day time series shown was obtained in June 2012.



FIG. 10. (a) Bottom-averaged scaled relative vorticity $(\xi/f; \text{ colour})$ and velocity field (arrows) at t = 102 days east of Iceland. The grey contours are isobaths with an interval of 100 m (thick contours indicate the 500, 1000, 1500, and 2000-m isobaths). The black line and the grey line denote the locations of section 5 and section 6, respectively. (b) Time series of the overflow volume transport across section 5 (black) and section 6 (grey). The square on the right denotes the 30-day mean value for section 5. The two circles indicate the moment of panel (a). Note that the zero value in the first few days for section 6 is because the main plume has not reached the section.



FIG. 11. Model-diagnosed (a) total, (b) rotational, and (c) divergent EHF (in $^{\circ}$ C m s⁻¹; arrows) superimposed on the temperature variance (in $^{\circ}$ C²; grey colour). They are calculated at 100 mab. The square and the circle denote two positions used in Fig. 12.



FIG. 12. Vertical profiles of the divergent EHF (arrows) at two positions along the mean path of the plume (see the square and the circle in Fig. 11c for the locations). The two grey lines denote the magnitudes of the divergent EHF, with the scale on the x-axis. The EHF located at the square and the circle (100 mab) corresponds exactly to those in Fig. 11c.



FIG. 13. Decomposed divergent EHF (in $^{\circ}$ C m s⁻¹; arrows) superimposed on the dynamical BC contours (in m² s⁻³) calculated at 100 mab. The square and the circle in the figure where strong conversion occurs correspond to those in Fig. 11 and Fig. 12. Note that the region where the mean plume thickness is smaller than 100 m has been excluded for the calculation of BC.



FIG. 14. A comparison of the plume interfaces (here defined as $\rho = 1027.65 \text{ kg m}^{-3}$) inferred from experiments E1-5, with fading colours from E1 to E5. The E3 (reference experiment) is marked by bold lines. The upper and lower profiles are for sections 1 and 3, respectively. The labelled values of \overline{u} are the plume-mean velocities (in m s⁻¹) for the five experiments.



FIG. 15. (a) A comparison of mean volume transport across section 1 (black) and section 3 (grey) for experiments E1-5. The x-axis is scaled with the magnitude of the inflow velocity, with E1 the smallest and E5 the largest. E3 is the reference experiment. (b) The mean eddy kinetic energy (\overline{EKE} ; black) and the mean rate of change of background potential energy (E_{bt} ; grey) for experiments E1-5. The x-axis is the volume transport across section 1 for the five experiments.